

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jane Wen Chang et al.
Serial No. : 10/770,295
Filed : February 2, 2004
Title : INFORMATION RETRIEVAL
MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Art Unit : Unknown
Examiner : Unknown

INFORMATION DISCLOSURE STATEMENT

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/563,803, filed on May 2, 2000. Applicants submit the references listed on the attached form PTO-1449. However, since the references on the attached list were submitted to and/or cited by the Office in the prior application, they are therefore not provided in this application.

This statement is being filed before the receipt of a first Office action on the merits.
Please apply any charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

November 17, 2004

Date of Deposit

Cassie Chandler

Signature

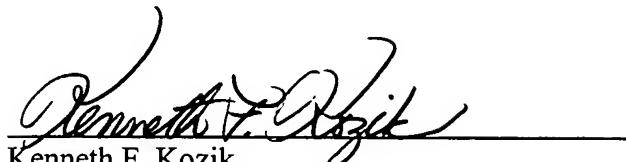
Cassie Chandler

Typed or Printed Name of Person Signing Certificate

Applicant : Jane Wen Chang et al.
Serial No. : 10/770,295
Filed : February 2, 2004
Page : 2 of 2

Attorney's Docket No.: 11646-006002

Respectfully submitted,


Kenneth F. Kozik
Reg. No. 36,572

Date: November 17, 2004
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nov 22 2003
Applicant : Chang et al
Serial No. : 09/563,803
Filed : May 2, 2000
Title : INFORMATION RETRIEVAL

Art Unit : 2177
Examiner : Mohammad Ali

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 23, 2003


Kenneth F. Kozik
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10/23/03
Alissa Passacantilli
Signature
Alissa Passacantilli
Typed or Printed Name of Person Signing Certificate

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Chang et al
 Serial No. : 09/563,803
 Filed : May 2, 2000
 Title : INFORMATION RETRIEVAL

Art Unit : 2177
 Examiner : Mohammad Ali

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

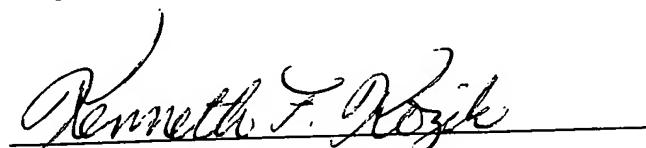
Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated October 30, 2003, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: December 24, 2003


 Kenneth F. Kozik
 Reg. No. 36,572

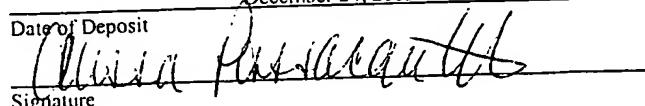
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December 24, 2003

Date of Deposit


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Alissa Passacantilli
 Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11646-006001	Application No. 09/563,803
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Chang et al	
		Filing Date May 2, 2000	Group Art Unit 2177
(37 CFR §1.98(b))			

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,933,822	8/3/1999	Brader-Harder et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AM	Khan I et al., "Personal Adaptive Web Agent: A Tool for Information Filtering", Canadian Conference on Electrical and Computer Engineering, vol. 1, 25 May 1997, pages 305-308.
	AN	Davies J et al., "Knowledge Discovery and Delivery", British Telecommunications Engineering, London, GB, vol. 17, no. 1, 1 April 1998, pages 25-35.
	AO	Persin M, "Document Filtering for Fast Ranking", Sigir 94. Dublin, July 3-6, 1994. Proceedings of the Annual International ACM-Sigir Conference on Research and Development in Information Retrieval, Berlin, Springer, DE, vol. CONF. 17, 3 July 1994, pages 339-348.
	AP	Han et al., "WebACE: A Web Agent for Document Categorization and Exploration", Proceedings of the 2 nd International Conference on Autonomous Agents Minneapolis/St. Paul, MN, May 9-13, 1998, Proceedings of the International Conference on Autonomous Agents, New York, NY, 9 May 1998, pages 408-415.
	AQ	Hideo Shimazu et al., "CAPIT: Natural Language Interface Design Tool With Keyword Analyzer and Case-Based Parser", NEC Research and Development, Nippon Electric Ltd., Tokyo, JP, vol. 33, no. 4, 1 October 1992, pages 679-688.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Substitute Disclosure Form (PTO-1449)	

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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11646-006001	Application No. 09/563,803
Information Disclosure Statement by Applicant (Use several sheets if necessary)		Applicant Chang et al	
		Filing Date May 2, 2000	Group Art Unit 2177
(37 CFR §1.98(b))			

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,956,711	9/21/1999	Sullivan et al.			
	AB	5,594,641	1/14/1997	Kaplan et al.			
	AC	6,006,221	12/21/1999	Liddy et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	0 304 191 A2	2/22/1989	EP				
	AM	0 597 630 A1	5/18/1994	EP				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.